



COPY #3

BOX MISSING PARTS
PATENT
1131-0459P

IN THE U.S. PATENT AND TRADEMARK OFFICE

**COPY OF PAPERS
ORIGINALLY FILED**

Applicant: Yukio NAKANISHI et al. Conf.: 8243
Appl. No.: 10/000,159 Group: 1731
Filed: December 4, 2001 Examiner: UNKNOWN
For: SHEET TOBACCO, AND PROCESS AND SYSTEM
FOR MANUFACTURING THE SAME

02/15/02

THIS IS NOT A NEW PATENT APPLICATION

LETTER SUBMITTING DOCUMENTS
FOR COMPLETION OF AN APPLICATION
PURSUANT TO 37 C.F.R. § 1.53(f) and/or § 1.53(d)

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, DC 20231

February 15, 2001

Sir:

The application papers for the above-identified application were originally filed on December 4, 2001 and the application was assigned Appl. No. 10/000,159.

DOCUMENTATION

- ☒ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration of the inventor(s) (☒ original ☐ photocopy), necessary for completing the filing requirements in connection with the above-identified application.
- ☐ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration that was attached to the specification at the time of execution. The attached specification is a true copy of the specification that was

filed in the U.S. Patent and Trademark office on December 4, 2001, including any amendments thereto (if applicable) filed on even date therewith.

☒ The undersigned hereby declares that "Attorney Docket No. 1131-0459P on page 1 of the attached inventors' Declaration, corresponds to Appl. No. 10/000,159, filed December 4, 2001, entitled "SHEET TOBACCO, AND PROCESS AND SYSTEM FOR MANUFACTURING THE SAME."

☐ Attached are () sheet(s) of formal drawings.

☒ Attached is a copy of the Notice to File Missing Parts of Nonprovisional Application.

☐ Attached is an English language translation of the above-identified application that was filed in a foreign language.

☐ See the attached Translator's Verification; or

☐ The undersigned states that the English translation attached hereto is a true and correct translation of the application as originally filed in a foreign language.

☐ Applicant claims small entity status under 37 C.F.R. § 1.27.

☒ Submitted concurrently herewith **under separate cover** for recording is an Assignment.

FEES

The Government Filing Surcharge(s) (37 C.F.R. § 1.16(e) and/or § 1.17(k)) and the basic Government Filing Fee(s) (37 C.F.R. § 1.16(a)-(d), if applicable) is/are attached hereto and calculated as follows:

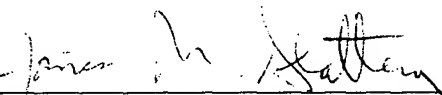
- ☐ Basic Filing Fee(s): \$0.00 (37 C.F.R. § 1.16(a)-(d))
- ☒ The Government Filing Surcharge under 35 U.S.C. § 1.16(e)) for late filing of filing fee, oath and/or declaration:
 - ☒ Large Entity - \$130.00
 - ☐ Small Entity - \$ 65.00)
- ☐ The Government Filing Surcharge under 37 C.F.R. § 1.17(i)) for filing of translation of non-English Specification in the amount of \$130.00:
 - ☐ was previously paid for concurrently with the filing of the application on .
 - ☐ is attached hereto.
- ☐ No extension fee is required because the undersigned has not yet received the Notice to File Missing Parts of Nonprovisional Application. However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.
- ☐ Applicant hereby respectfully petitions for a () month(s) extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. The required fee of \$0.00 is attached hereto.

- ☒ Check(s) in the amount of \$130.00 to cover the basic filing fee(s), surcharge fee(s), and any extension of time fee(s) (if applicable) is/are enclosed.
- ☐ Please charge Deposit Account No. 02-2448 in the amount of \$0.00. A triplicate copy of this transmittal form is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By 
James M. Slattery, #28/380

JMS/ghh
1131-0459P

P.O. Box 747
Falls Church, VA 22040-0747
(703) 205-8000

Attachments

(Rev. 12/03/01)



BOX DUPLICATE OIPE
PATENT
1131-0459P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Yukio NAKANISHI et al. Conf.: 8243
Appl. No.: 10/000,159 Group: 1731
Filed: December 4, 2001 Examiner: UNKNOWN
For: SHEET TOBACCO, AND PROCESS AND SYSTEM
FOR MANUFACTURING THE SAME

COPY OF PAPERS
ORIGINALLY FILED
02/15/02

**LETTER IN RESPONSE TO REQUEST
FOR SUBSTITUTE PAPERS**

Assistant Commissioner for Patents
Washington, DC 20231

April 3, 2002

Sir:


Responsive to the Request for Substitute Papers mailed March 22, 2002, requesting that the Applicant provide copies of papers filed on February 15, 2002, we enclose the attached papers (perfect filing with executed Declaration and Power of Attorney; submission of an Assignment with executed Assignment document and copies of the PTO Formalities Letter, checks and post cards which were also filed). The enclosed papers are complete and accurate copies of the papers filed on February 15, 2002, along with a copy of the Request for Substitute Papers which is duly executed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee

required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By 
James M. Slattery, #28,380

JMS/gh
1131-0459P

P.O. Box 747
Falls Church, VA 22040-0747
(703) 205-8000

Attachments

(Rev. 12/03/01)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
10/000,159	12/04/2001	Nakanishi, Yukio	1131-0459P

2292

BIRCH STEWART KOLASCH & BIRCH
PO BOX 747
FALLS CHURCH VA 22040-0747

DOCKETED
Response
4-5-02

Title: Sheet tobacco, and process and system for manufacturing the same

Date Mailed: 03/22/2002

Request for Substitute Papers

The papers filed on 02/15/02 are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-746-4060(OIPE)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. **A copy of this notice should be included with the reply.**

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: JAMES M. SLATTERY Registration No.: 28380

Signature: James M. Slattery Date: 4-3-02

COPY OF PAPERS
ORIGINALLY FILED

02/15/02



UNITED STATES PATENT AND TRADEMARK OFFICE

APR 03 2002

JC48

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/000,159	12/04/2001	Yukio Nakanishi	1131-0459P

2292
BIRCH STEWART KOLASCH & BIRCH
PO BOX 747
FALLS CHURCH, VA 22040-0747

CONFIRMATION NO. 8243

FORMALITIES LETTER



OC000000007214554

Date Mailed: 12/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

41
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



COPY

DOCKET NO. 1131-0459P

Papers Filed herewith on: 2-15-02
DOCKET NO.: 1131-0459P ATTY: JMS
APPLICANT(S): Yukio Nakamishi et al.
APPLN. NO: 10/000,159 FILED: 12-4-01
PAT NO.:

- ☐ New Application with Transmittal Letter
☐ Utility ☐ Design ☐ CIP ☐ PCT ☐ Provisional
☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV
☐ Filing Under 37 CFR 1.53(d) (CPA)
☐ Filing Under 37 CFR 1.114(RCE)
☐ Specification Consisting of: _____ pages
☐ Combined Declaration & Power of Attorney
☒ Assignment / Cover Letter
☐ Letter to Official Draftsman
☐ Drawings _____ Sheets ☐ Formal ☐ Informal ☐ Red-Ink
☐ Completion of Filing Requirements, PCT/DO/EO/905
or Formalities Letter and Executed Declaration
☐ Priority Document(s) / Cover Letter, No. Doc. _____
☐ Amendment: _____
☐ Transmittal Ltr ☐ Large Entity ☐ Small Entity
☐ Response _____
☐ Information Disc. Stmt. PTO-1449(s) _____ ref(s)
☐ Notice of Appeal ☐ Appeal Brief
☐ Issue Fee Transmittal
☒ FEES: \$40.00 #4022235
☐ Letter: _____
☐ Other: _____

Receipt is hereby acknowledged of the papers filed as
indicated in connection with the above identified case.
COMMISSIONER OF PATENTS AND TRADEMARKS

Due Date: _____
Handcarry: 537964

BIRCH, STEWART, KOLASCH AND BIRCH, LLP
CPO ACCOUNT
8110 GATEHOUSE ROAD, SUITE 500 EAST
P.O. BOX 747
FALLS CHURCH, VA 22040-0747

SUNTRUST BANK
Alexandria, Virginia

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Forty & 00/100 Dollars

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I.D. NO.

02/15/02

DATE

AMOUNT
\$40.00

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FOR RECORDING FEE

537964 1131-0459P

GLH 10/000,159

"04022235" :056001079: 202564983"



DOCKET NO. 1131-0459P

Papers Filed herewith on: 2-15-02
DOCKET NO.: 1131-0459P ATTY.: JMS
APPLICANT(S): Yukio Nakanishi et al.
APPLN. NO: 10/000,159 FILED: 12-4-01
PAT NO.: _____

- ☐ New Application with Transmittal Letter
☐ Utility ☐ Design ☐ CIP ☐ PCT ☐ Provisional
☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV
☐ Filing Under 37 CFR 1.53(d) (CPA)
☐ Filing Under 37 CFR 1.114(RCE)
☐ Specification Consisting of: _____ pages
☐ Combined Declaration & Power of Attorney
☐ Assignment / Cover Letter
☐ Letter to Official Draftsman
☐ Drawings _____ Sheets ☐ Formal ☐ Informal ☐ Red-Ink
☒ Completion of Filing Requirements, PCT/DO/EO/905
or Formalities Letter and Executed Declaration
☐ Priority Document(s) / Cover Letter, No. Doc. _____
☐ Amendment: _____
☐ Transmtl Ltr ☐ Large Entity ☐ Small Entity
☐ Response
☐ Information Discl Stmt. PTO-1449(s) _____ ref(s)
☐ Notice of Appeal ☐ Appeal Brief
☐ Issue Fee Transmittal
☒ FEES \$130.00 #4022236
☐ Letter: _____
☐ Other: _____

Receipt is hereby acknowledged of the papers filed as indicated in connection with the above identified case.
COMMISSIONER OF PATENTS AND TRADEMARKS

Due Date: 2-19-02
Handcarry: _____
531854

BIRCH, STEWART, KOLASCH AND BIRCH, LLP

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8110 GATEHOUSE ROAD, SUITE 500 EAST
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SUNTRUST BANK
Alexandria, Virginia

68-107
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OF

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I.D. NO.

DATE

AMOUNT

\$130.00

FOR SURCHARGE FEE

531854 1131-0459P

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